

INSTITUTIONAL REGULATION

on the organisation and conduct of doctoral studies at the University of Medicine and Pharmacy „Iuliu Hațieganu" of Cluj-Napoca

Chapter 1. General framework

Section 1.1. Purpose, objectives and scope Art. 1

This Institutional Regulation, abbreviated hereinafter IR, provides the general reference framework for the organization and conduct of doctoral degree programs at the University of Medicine and Pharmacy „Iuliu Hațieganu" Cluj-Napoca, hereinafter UMFIH.

Art. 2

(1) This IR applies to doctoral training activity throughout the university.

(2) The IR provisions also apply to doctoral degree programmes carried out within the framework of international university cooperation, based on agreement between UMFIH and the respective institutions, on a cotutelle basis, as well as to those organised jointly by several higher education institutions and in consortia for dual education, including IOSUDs carried out by university consortia, made up of higher education institutions and hospitals, clinics or research institutes in the country or abroad.

(3) The application of the IR provisions aims to achieve the following objectives:

- a. to establish a minimum reference framework for the organisation of doctoral degree programmes;
- b. promotion of common procedures and principles to guarantee quality in the organisation and conduct of doctoral degree programmes.

Section 1.2. Legal reference framework and

terminology Art. 3

(1) The legal reference framework of this IR is given by:

- a) Higher Education Act No 199/2023, updated.
- b) Order of the Minister of Education no. 3020/2024 approving the Framework Regulation on doctoral studies;
- c) UMFIH Charter, in force at the date of approval of this IR;
- d) Order No 5140 of 11 September 2019 approving the Methodology on academic mobility of students, with subsequent amendments and additions;
- e) Order ME 3998/12.03.2024 for the approval of the methodology for granting the habilitation certificate;
- f) Order of the Minister of Education No 6768/2023 approving the Methodology for awarding transferable credits in lifelong learning.

(2) This IR shall be correlated with and complemented by the UMFIH Code of Ethics and the UMFIH Quality Assurance Department Regulations.

Art. 4

The specific terms mentioned below have the meaning defined in the legal reference framework referred to in Article 3(3). (1), namely:

- a) **Doctoral studies** - the third cycle of university studies leading to a level 8 qualification in the European Qualifications Framework (EQF/CEC) and the National Qualifications Framework (NQF);
- b) **Doctoral student** - a person admitted to a doctoral programme is a doctoral student for the entire period of his/her presence in the programme, from enrolment until the completion of the programme or until expulsion, except during periods of interruption of studies, but including any extensions granted by the University Senate. The status of doctoral student is maintained even during internal and international mobility.
- c) **Doctoral supervisor** - a person who obtained the right to conduct a doctoral degree before the date of entry into force of the Law on Higher Education No. 199/2023, as amended and supplemented, as well as persons who obtain the habilitation certificate and become members of a doctoral school of an IOSUD, on the basis of an individual employment contract;
- d) **Doctoral degree programme**, hereinafter referred to as the doctoral programme - the totality of activities in which the doctoral student is involved, relevant to doctoral studies, comprising the totality of activities in the design, organisation, teaching, management and effective delivery of learning, practical application, research and evaluation leading to a level 8 EQF/CEC and NQF qualification;
- e) **Doctoral degree field** - the field that is listed as such in the Nomenclature of University Fields and Programmes of Study, established annually by Government Decision, initiated and, where appropriate, amended and/or supplemented by the Ministry of Education before 31 August each year;
- f) **Doctoral thesis** - the original scientific work prepared by a doctoral student as part of his/her doctoral studies, which is a legal requirement for obtaining a doctoral degree;
- g) **Organizing institution of doctoral studies**, hereinafter referred to as IOSUD-UMFIH, the institution that has legally acquired the right to organize doctoral programs;
- h) **Council for Doctoral Studies (CSUD)** - organizational and administrative structure established within IOSUD-UMFIH, responsible for the IOSUD strategy, elaboration and application of its own regulations on doctoral studies;
- i) **Doctoral School** - an organisational and administrative structure set up within IOSUD that provides the necessary support for the conduct of doctoral studies in a specific discipline or disciplinary or interdisciplinary topic;

- j) **Doctoral studies contract** - the contract that deals with the specific activities of the study program, regulating the relations between IOSUD-UMFIH, the PhD supervisor and the PhD student, specifying the rights and obligations of the signatory parties, in accordance with the legislation in force, the provisions of the University Charter and the decisions of the University Senate;
- k) **Academic mobility** - the right of doctoral students to have their transferable credits acquired at other higher education institutions in the country or abroad recognised under the law. Mobility can be internal or international, physical, virtual or mixed, permanent or temporary, for all forms of education;
- l) **Doctorate diploma** - the diploma issued by IOSUD-UMFIH after all legal procedures related to the doctoral degree programme have been completed;
- m) **Doctoral School Council (SSC)** - the governing structure of the Doctoral School;
- n) **Co-author of a publication** - any person named in the list of authors of a scientific publication;
- o) **Fabrication of results or data** - the reporting of fictitious results or data that are not the actual result of an R&D activity;
- p) **Falsification of results or data** - selective reporting or rejection of unwanted data or results, manipulation of representations or illustrations, alteration of experimental or numerical apparatus to obtain desired data without reporting the alterations made, with the aim of distorting the scientific truth;
- q) **Plagiarism** - the presentation as an allegedly personal scientific creation or contribution in a written work, including in electronic format, of texts, ideas, demonstrations, data, theories, results or scientific methods taken from written works, including in electronic format, of other authors, without mentioning this fact and without reference to the original sources;
- r) **Self-plagiarism** - republishing substantial parts of one's own previous publications, including translations, without properly indicating or citing the original.
- s) **Postdoctoral Advanced Research Programmes** - these are programmes for people who have obtained a doctoral degree in science no more than 5 years prior to admission to the postdoctoral programme or who have participated and obtained a certificate of excellence in the Marie Skłodowska Curie competitions organised by the European Commission, regardless of when they acquired their doctoral degree;
- t) **Mentor** - the PhD supervisor who coordinates the work of a postdoctoral researcher.

Section 1.3. Types of doctoral studies at UMFIH Art. 5

- (1) Doctoral degree programmes are organised in doctoral schools and are of two types:
 - a. Scientific doctorate, which aims to produce original, internationally relevant scientific knowledge based on scientific methods, organised only in the form of full-time education. It can also be carried out on a fee-paying basis.

The scientific doctorate is a prerequisite for a professional career in higher education and research;

- b. Professional doctorate in the fields of arts, sports, military sciences or dual university education, which aims at producing original knowledge based on the application of the scientific method, systematic reflection or applied research on artistic creations, on high-level national and international sports performances or on topics of practical importance and which forms a basis for a professional career in higher education and research in the fields concerned.

(2) UMF "Iuliu Hațieganu" Cluj Napoca is a university that has assumed the mission of advanced research and education, the development of doctoral studies being an important component of this strategy.

(3) At UMF "Iuliu Hațieganu" doctoral studies are carried out only for the scientific doctorate, focused on learning through research, whose purpose is to develop human resources competent in research-development and innovation; the scientific doctorate is a condition for a professional career in higher education and research.

(4) UMF "Iuliu Hațieganu" Cluj Napoca is accredited as an Institution Organizing Doctoral Studies (IOSUD). Within IOSUD there is a doctoral school that has the right to organize and conduct doctoral studies. The fundamental field in which the doctorate is organized is BIOLOGICAL AND BIOMEDICAL SCIENCES and the doctoral fields are: Medicine, Dental Medicine and Pharmacy.

(5) The UMFIH Doctoral School operates in UMFIH and organises scientific doctoral programmes;

(6) Doctoral programmes are organised only on a full-time basis. The obligations relating to attendance are established by the University Senate on the proposal of the CSUD. Admission to this form is organised annually, in accordance with the law, both for budgeted places and for those on a fee basis or financed from other legally constituted sources.

(7) The curricula for individual training programmes in the third cycle of doctoral studies contain disciplines in ethics and academic integrity, including research ethics.

Chapter 2. Organisational framework for doctoral studies Section

2.1. IOSUD and CSUD

Art. 6

In accordance with the legal reference framework referred to in Article 3(3). (1) of this IR, UMFIH is an Organizing Institution for Doctoral Studies (hereafter abbreviated as IOSUD-UMFIH).

Art. 7

The head of the University is the Rector, and the University Senate is the highest decision-making and deliberating body at the University level and the Board of Trustees which provides the operational leadership of the University and implements the strategic decisions of the University Senate.

Art. 8

- (1) In IOSUD-UMFIH, doctoral studies are organized in an accredited doctoral school according to the legal provisions;
- (2) IOSUD-UMFIH provides institutional, administrative and logistical support to the component doctoral school;

Art. 9

IOSUD-UMFIH and its doctoral school may establish cooperation agreements with organizations from the academic or socio-economic environment in the country and abroad on the basis of which to collaborate in the development of doctoral programs or concerning the work of doctoral students.

Art. 10

The collective and individual governing bodies of UMFIH and their general attributions are the same, being those established by the legal regulations in force, both in its capacity as a higher education institution and in its complementary capacity as an IOSUD.

Art. 11

- (1) The work of the University's doctoral studies is conducted by the Council for Doctoral Studies, hereinafter referred to as the CSUD, which is established and functions on the basis of legal provisions and these IR.
- (2) The CSUD is headed by a Director, who is an ex officio member of the CSUD.
- (3) The position of Director of the CSUD is assimilated to that of Prorector, in accordance with the legal provisions, and the person occupying it receives by right the responsibility and authority related to the position.
- (4) The term of office of the members and the Director of the CSUD is 5 years.

Art. 12

- (1) Within the UMFIH, the CSUD consists of 11 members.
- (2) The organisation of the procedure for setting up the CSUD is the responsibility of the head of the Institution Organising Doctoral Studies (IOSUD), in the person of the Rector of the university.
- (3) The methodology for appointing the members of the CSUD complies with the provisions of the Order of the Minister of Education no. 3020/2023 for the approval of the Framework Regulation on doctoral studies, is proposed by the head of the institution (IOSUD) - Rector of UMFIH and is approved by the University Senate.
- (4) The formation of the CSUD is done according to the methodology for the organization of elections and appointment of members of the Council for Doctoral Studies (CSUD) of IOSUD-UMFIH.

Art. 13 CSUD

- (1) The main tasks of the CSUD are:
 - a) establishing the IOSUD-UMFIH strategy;
 - b) drafting and updating the IR;
 - c) coordination of the doctoral school of IOSUD-UMFIH;
 - d) approval of decisions on the establishment and dissolution of doctoral schools in IOSUD-UMFIH;

- e) the selection of doctoral supervisors who are part of the doctoral school;

- f) coordination of partnerships established in accordance with the legal regulations referred to in Article 9(9). 1 of this IR, according to the respective partnership contracts;
- g) endorses the specific provisions of the doctoral school;
- h) the development of minimum criteria for the organisation and functioning of doctoral degree programmes, including those concerning admission to doctoral studies, curricula, evaluation of doctoral students, selection criteria and the establishment of committees for the evaluation of doctoral theses proposed by doctoral schools;
- i) development of minimum criteria for the completion, evaluation and valorisation of the results of doctoral degree programmes, including the doctoral thesis;
- j) making proposals, including support for legislative initiatives, aimed at stimulating the employment of PhD graduates in the labour market;
- k) developing methodologies for highlighting, over time, the career paths of doctoral graduates;
- l) to formulate its own proposals and to endorse proposals from doctoral schools for agreements and partnerships/consortia for the smooth running of doctoral degree programmes and doctoral co-supervision programmes;
- m) initiating, submitting and following up the documentation necessary to obtain national and European funds for PhD and research programmes;
- n) incur expenditure, as decided by the Rector;
- o) drawing up proposals for the allocation of resources to finance doctoral degree programmes and submitting them to the Rector for approval;
- p) mediating and resolving conflicts brought to his or her attention between the doctoral candidate and the doctoral school or between the doctoral supervisor and the doctoral school;
- q) setting the agenda for meetings;
- r) other specific duties as set out in these IR, as required by law.

(2) The CSUD meets monthly or whenever necessary at the request of the CSUD Director or at least 1/3 of its members.

Art. 14 CSUD Director

(1) The CSUD Director is appointed on the basis of a public competition organised by IOSUD-UMFIH.

(2) The methodology of the public competition must comply with the provisions of the Order of the Minister of Education no. 3020/2023 for the approval of the Framework Regulation on doctoral studies, is proposed by the head of the institution (IOSUD) - Rector of UMFIH and is approved by the University Senate.

(3) On the basis of the decision of the competition committee, the rector of the university concludes a management contract with the person appointed for a period of 5 years.

Art. 15

The RI prepared by the CSUD is endorsed by the CSUD and the Board of Trustees and submitted by the Rector for approval by the University Senate.

Art. 16

The administrative activities related to the conduct of the PhD in IOSUD-UMFIH are carried out by the SD Secretariat. The members of the SD Secretariat are employees of UMFIH and report to the Director of CSUD.

Section 2.2 Doctoral school, regulatory framework and institutional setting Art.**17**

- (1) Doctoral degree programmes are organised and carried out at IOSUDonly through the Doctoral School.
- (2) The Doctoral School can only be established if it comprises at least 3 PhD supervisors.
- (3) A doctoral school operates within IOSUD-UMFIH.
- (4) The establishment of the doctoral school is proposed by the Rector, approved by the Senate and approved by the CSUD.
- (5) Doctoral schools are subject to evaluation and accreditation in accordance with the legal regulations in force.
- (6) The Doctoral School is a structure within IOSUD-UMFIH made up of professors/researchers and PhD students, which is focused on scientific research and learning through research and whose work is completed through PhD theses, scientific publications, innovations, patents and other results of scientific research.
- (7) The scientific research activity of the doctoral school is integrated into the scientific research activity of the existing scientific research structures of UMFIH.

Art. 18

- (1) The general framework for the organisation and functioning of the Doctoral School is established by the legal reference regulations mentioned in Article 3 of this IR;
- (2) The regulations of the UMFIH Doctoral School are approved by the CSUD.
- (3) The regulations of the doctoral school shall establish and cover at least the following aspects:
 - a) general organisation and management of the doctoral school;
 - b) how the doctoral school integrates institutionally into the organisational structure of the university;
 - c) how doctoral programmes are organised and run;
 - d) criteria and standards for accepting new doctoral supervisor members, as well as regulations on how a doctoral supervisor can be removed from membership of the doctoral school;
 - e) procedures for changing the supervisor of a particular doctoral student and procedures for mediating conflicts;
 - f) the conditions under which the doctoral programme may be interrupted;
 - g) how to prevent fraud in scientific research, including plagiarism.
- (4) The Doctoral School may impose minimum standards of scientific performance that doctoral supervisors must meet in order to become members of that Doctoral School.

(5) The Doctoral School may draw up and approve in its Council, after consultation with the doctoral supervisors, members and in compliance with the regulatory framework referred to in paragraph 1. (1) of this Article, specific provisions deemed necessary for the proper conduct of its activities. These may relate, inter alia, to specific conditions concerning:

- a) selection and admission of doctoral students;
- b) the process of running doctoral programmes, including access to specific research resources;
- c) doctoral school management;
- d) PhD theses.

(6) The provisions laid down in paragraph. (4) of this Article shall be incorporated as Annexes to this IR after the endorsement and approval procedures laid down in this IR have been completed.

(7) The inter-institutional partnership agreements may establish specific conditions for the conduct of doctoral study programmes in cotutelle and in partnership, within the framework of the legal provisions and with respect for the interest of the professional training of the doctoral student.

(8) In addition to the doctoral supervisors, the doctoral school may be affiliated to other researchers or teachers involved in research and/or teaching activities within the doctoral school, within IOSUD or other institutions or research and development units, in the country or abroad.

Art. 19

(1) The Doctoral School is governed by a Doctoral School Director and the Doctoral School Council.

The director of the doctoral school is assimilated to the director of the department. The Council of the doctoral school is assimilated to the Council of the department.

(2) The director of the doctoral school is elected on the basis of the methodology for the organization of elections and appointment of the doctoral school leadership and approved by the University Senate. The director of the doctoral school and the members of the council of the doctoral school are elected by universal, direct and secret vote of the doctoral supervisors and doctoral students of the doctoral school.

(3) The Director of the Doctoral School is an ex officio member of the Council of the Doctoral School and the CSUD.

(4) The term of office of the Council of the Doctoral School is 5 years.

(5) By-elections shall be held to fill vacancies on the Council of the Doctoral School and the term of office of the new member shall end when the term of office of the Council of the Doctoral School expires.

(6) The Council of the Doctoral School shall meet at least 3 times a year at the request of the Director of the Doctoral School or at least 1/3 of its members.

Art. 20

The tasks of the Council of the Doctoral School are:

- a) the development of specific regulations for the doctoral school;
- b) taking decisions on granting or revoking the membership of the doctoral school to doctoral supervisors and setting minimum standards of scientific performance for the objective application of these procedures;
- c) the registration and expulsion of doctoral students, on the proposal of the doctoral supervisors who are members of the doctoral school;

- d) taking decisions on the approval of the status of teaching and research staff affiliated to the doctoral school, as appropriate;
- e) assistance the evaluator external evaluator at process of evaluation in accreditation/reaccreditation or provisional authorisation of the doctoral school;
- f) preliminary evaluation of doctoral theses.
- g) approval of interruptions to the doctoral internship (for good cause, with prior approval of the supervisor);
- h) approval of withdrawals from the doctoral programme;
- i) approval of doctoral students' professional travel;
- j) decides to reassign the supervision of a doctorate in progress to another doctoral supervisor under the conditions laid down by law;
- k) mediates conflicts between doctoral students and supervisors;
- l) endorses the committees for the analysis and public defense of doctoral theses;
- m) draws up and proposes the content of the doctoral degree programmes approved by the CSUD;
- n) establishes the intellectual property rights regime for the doctoral thesis;
- o) adopt the necessary measures to ensure the quality of doctoral studies, for the proper conduct of periodic evaluations of the doctoral school and doctoral supervisors, for compliance with the rules of ethics and deontology and for the external evaluation process for accreditation/reaccreditation or provisional authorisation of the doctoral school;
- p) perform other specific duties established by law, code, charter, RI or other normative acts.

Art. 21

(1) The Doctoral School may enter into cooperation agreements for collaboration in the conduct of doctoral programmes or the one-off training of a doctoral candidate as follows:

- a) with doctoral schools belonging to other IOSUD in the country or abroad;
- b) with research institutions;
- c) with socio-economic organisations in the country and abroad.

(2) If they relate exclusively to doctoral activity, the agreements mentioned are endorsed by the Council of the doctoral school and approved by the Director of the CSUD or, if they are part of broader inter-institutional cooperation documents, they are approved by the Rector of the University.

Art. 22

(1) Doctoral students are enrolled in the IOSUD Doctoral School.

(2) New students may be enrolled in the doctoral school only if it is accredited or provisionally authorised.

(3) Provisional authorisation of the doctoral school may not extend for more than 3 years.

Art. 23

(1) Doctoral schools have an obligation to provide accurate and complete information on doctoral degree programmes to applicants to these programmes, the academic community and other interested natural or legal persons.

(2) The IOSUD Doctoral School operates according to its own regulations.

- (3) The Regulations of the Doctoral School set out the way in which doctoral degree programmes are organised and conducted within the Doctoral School.
- (4) The Regulations of the Doctoral School shall be drawn up by the Council of the Doctoral School, in consultation with all the doctoral supervisors who are members of the Doctoral School, in accordance with these Regulations.
- (5) The regulations of the doctoral school are approved by the CSUD.
- (6) The Regulations of the Doctoral School set out binding criteria, procedures and standards covering at least the following aspects:
- a) the acceptance of new doctoral supervisor members, as well as regulations on how a doctoral supervisor can be withdrawn from the doctoral school;
 - b) the mechanisms by which decisions are taken on the appropriateness, structure and content of the advanced degree-based training programme;
 - c) procedures for changing the supervisor of a particular doctoral student and procedures for mediating conflicts;
 - d) the conditions under which the doctoral programme may be interrupted;
 - e) how to prevent fraud in scientific research, including plagiarism;
 - f) ensuring access to research resources;
 - g) attendance obligations of doctoral students, according to a methodology developed by the MoE.
- (7) The regulation may also apply to doctoral programmes carried out in a joint programme, if the partnership agreement so provides.

Art. 24

- (1) The doctoral school, together with the doctoral supervisor, has the obligation to inform the doctoral student about scientific, professional and academic ethics and to verify compliance, including:
- a) compliance with ethical provisions during the conduct of doctoral research;
 - b) compliance with the ethical provisions in writing the doctoral thesis.
- (2) The Doctoral School and IOSUD take measures to prevent and sanction deviations from the rules of scientific, professional and academic ethics, in accordance with the IOSUD Code of Ethics and Academic Deontology.

Art. 25

- (1) IOSUD, through the Doctoral School, can grant financial support to doctoral students for research internships in the country or abroad, with the agreement of the doctoral supervisor.
- (2) Mobility of doctoral students can also be facilitated by doctoral schools through:
- a) the conclusion of institutional agreements or partnerships, approved by the doctoral school's board;
 - b) doctoral cotutelle research;
 - c) exchanges of doctoral students and teaching and research staff with internationally recognised universities;
 - d) participation in international consortia, aiming to include doctoral research topics in international scientific projects.

Section 2.3. Ensuring the transparency of the work of the doctoral school

Art. 26

The Doctoral School has an obligation to provide accurate and complete information on the doctoral programmes to the candidates to these programmes, the university community and other interested individuals or legal entities.

Art. 27

IOSUD guarantees transparency in the organisation and conduct of doctoral degree programmes at the doctoral school level.

(1) The Doctoral School, with the logistical support of IOSUD, ensures the publication on the Internet of all necessary information on doctoral degree programmes, targeting in particular the following categories:

- a) doctoral school regulations;
- b) information on vacancies for PhD students;
- c) information on vacancies for PhD supervisors;
- d) information on the organisation and running of doctoral programmes;
- e) information on the content of doctoral degree programmes;
- f) information on how the studies are financed and the costs incurred by the doctoral student;
- g) model framework contract for doctoral studies;
- h) information on the doctoral supervisors and doctoral students they supervise, including at least a list of their publications and patents;
- i) information on the results and professional performance of doctoral supervisors;
- j) information on doctoral theses, i.e. standards for their preparation, procedures and evaluation criteria;
- k) the announcement of the public defence of the doctoral thesis must include the date, location and time of the defence, the supervisor(s) of the doctoral thesis, as well as the place where the full text of the thesis can be consulted in printed format, the abstract of the thesis in electronic format, the CV of the doctoral candidate, the CVs of the members of the committee for the analysis and public defence of the doctoral thesis or links to these documents, which are posted on the IOSUD- UMFIIH website, at least 20 calendar days before the proposed date of the public defence.
- l) addresses where completed PhD theses published on a website managed by the MoE can be accessed;
- m) guidelines for writing doctoral theses, i.e. standards for writing theses, procedures and criteria for their evaluation;
- n) minimum standards developed by the CNATDCU for the award of the doctoral degree;
- o) abstracts of doctoral theses to be publicly defended, as well as the date, time and location of the public defendings at least 20 days prior to the public defendings;
- p) addresses where completed PhD theses can be accessed;

- q) the annual self-evaluation report, which will necessarily contain references to student/doctoral student feedback on the quality of the educational process;
- r) other information of interest to PhD students and supervisors, such as support for the conduct of research and the preparation of the PhD thesis, career advice, specific research legislation.

Chapter 3. Framework for doctoral studies

Section 3.1 Admission and enrolment in doctoral programmes Art. 28

(1) The number of doctoral places requested by UMFIH to the relevant Ministry for funding from the state budget, the total number of places for which admission is organised (combining the budgeted places approved by the relevant Ministry and the paid places) as well as their distribution in UMFIH are proposed by the CSUD, endorsed by the Administrative Council and approved by the University Senate for each academic year.

(2) The allocation of doctoral places for each field takes into account each year the availability and workload of the doctoral supervisors, their individual performance and the cumulative performance of each doctoral field established on the basis of a set of criteria proposed by the CSUD, endorsed by the Administrative Council and approved by the University Senate.

Art. 29

(1) Admission to doctoral studies is based on a competition organized by IOSUD-UMFIH, through the doctoral school, in accordance with the framework methodology for the organization of admission to higher education institutions in Romania, prepared annually by the relevant Ministry and with the regulations of UMFIH.

(2) May organize admission to doctoral school within IOSUD-UMFIH that is legally operating under the aspect of accreditation. The loss of accreditation of the doctoral school entails, if necessary, its liquidation under the law.

(3) In accordance with the legal regulations, only graduates with a Master's degree or its equivalent are entitled to take part in the competition for admission to doctoral studies, and the cumulative number of transferable study credits acquired, for the Bachelor's and Master's degree cycle, must be at least 300. The graduation or bachelor's degree of graduates of long-term higher education from the period prior to the application of the three Bologna cycles is equivalent to a master's degree in the subject. The application for admission to the competition for admission to doctoral studies can be made regardless of the field in which the candidate has obtained the bachelor's and master's degree.

(4) The citizens of the European Union Member States, of the European Economic Area or of the Swiss Confederation have access to doctoral studies under the same conditions as those provided for by the regulations for Romanian citizens, including the fees (fee for processing the admission file, study fee, fee for public defense of the doctoral thesis).

Art. 30

- (1) Admission to the doctoral cycle is organized by doctoral fields within the Doctoral School of UMFIH: Medicine, Dentistry, Pharmacy. The CSUD and the Council of the Doctoral School specify the documents required for registration for the PhD admission competition.
- (2) The selection of the PhD candidate for a vacant position is carried out by the PhD supervisor supervising that position.
- (3) Following the proposal of the doctoral supervisor, a doctoral student may be registered only after obtaining the favourable opinion of the doctoral school council.
- (4) The Doctoral School shall ensure the transparency of the criteria and procedures for the evaluation and selection of candidates for admission to the doctoral programme for the places offered by the doctoral supervisors, which information shall be made public through the means at their disposal.
- (5) It is forbidden to promote any form of direct or indirect discrimination between candidates for doctoral studies through the criteria for the evaluation of candidates and the selection of candidates.
- (6) The Director of the Doctoral School together with the Council of the Doctoral School are responsible for the smooth running of the admission competition, in compliance with the rules of quality, academic ethics and the legislation in force.

Art. 31

- (1) The organization of the admission competition for the doctoral degree cycle at IOSUD-UMFIH can also be conducted online - in special situations.
- (2) After enrolment, all PhD students are registered in the Single Register (RMU).
- (3) The doctoral studies contract is concluded with each doctoral student and is signed by the doctoral student, the supervisor and the legal representative of IOSUD-UMFIH.
- (4) The doctoral studies contract shall contain at least the following information:
 - a) data about IOSUD and the doctoral school;
 - b) identification data of the PhD student and the PhD supervisor;
 - c) the field of doctoral studies;
 - d) type of doctorate;
 - e) form of education;
 - f) form of financing;
 - g) the monthly amount of the scholarship/tuition fee, if applicable;
 - h) the norm of research and/or teaching activities, according to the classification, in the case of full-time doctoral studies;
 - i) the duration of the doctoral programme followed;
 - j) research topic;
 - k) the language in which the study programme is organised;
 - l) the language in which the doctoral thesis is written and defended - Romanian, the language of a national minority or a language of international circulation. Doctoral theses written in a language other than Romanian must be accompanied by an abstract written in Romanian;
 - m) the minimum standards that must be respected during the course of studies and in the process of preparing the doctoral thesis;
 - n) the deadline for completion of the doctoral thesis;
 - o) conditions for extending the deadline for completion of the doctoral thesis;

- p) conditions for discontinuing the study programme;
- q) the amount of teaching activities the doctoral student carries out and the period during which they are carried out;
- r) the conditions under which the doctoral supervisor can change;
- s) conditions for termination of the study contract;
- t) information on the processing of personal data in accordance with the legislation in force.

(5) Study contracts do not change during the academic year. Tuition fees will be maintained throughout the normal duration of the study cycle and may be indexed up to the rate of inflation for the same class of students.

Section 3.2. Duration of doctoral studies Art. 32

(1) The duration of the PhD programme is 4 years. For good reasons and on the basis of supporting documents, the duration of the doctoral programme may be extended by 1 to 2 years, with the approval of the University Senate, on the proposal of the doctoral supervisor and within the limit of available funds, or reduced by one year, at the request of the doctoral student, with the advice of the doctoral supervisor and the approval of the University Senate.

UMFIH doctoral students for whom the PhD supervisors ask the Senate for an extension, can continue their work for the extended period only on a fee basis (regardless of the form to which they were initially admitted). The fee for each year of extension is equal to the fee charged for a full-time student - fee-paying and can be paid in two equal instalments.

(2) The doctoral student will submit the proposal of the doctoral supervisor to extend the doctoral internship to the IOSUD Secretariat no later than 30 days before the end of the doctoral internship. Following the approval of the extension, an additional document to the doctoral studies contract is drawn up.

(3) Failure to submit a request for an extension of the doctoral internship within the announced deadline will result in the expulsion of the doctoral student.

(4) The doctoral programme may be interrupted for medical reasons, for pregnancy and maternity leave or parental leave, or for other justifiable reasons and on the basis of supporting documents. The duration of doctoral studies is extended by the cumulative periods of approved interruptions.

(5) The cumulative duration of the periods of interruption granted to a doctoral student shall not exceed 2 years.

(6) The interruption is approved by the Director of the Doctoral School, at the request of the doctoral student, with the advice of the doctoral supervisor and the Doctoral School Council. Following approval of the interruption, an additional document to the doctoral studies contract is drawn up.

(7) After the end of the interruption period, the doctoral student must submit to the IOSUD Secretariat a request for resumption of activity, approved by the doctoral supervisor and the Director of the Doctoral School.

(8) Failure to submit a request for resumption of work within 30 days of the expiry of the interruption period leads to the expulsion of the PhD student.

Section 3.3. Supervision of work in doctoral degree programmes

Art. 33

- (1) During his doctoral studies, the doctoral student is also supervised/supported by an Academic Integrity and Mentoring Committee made up of 3 other teachers or research staff in the field of the doctoral thesis (who hold a doctoral degree). At least one member of this committee is from outside IOSUD-UMFIH.
- (2) The main role of the doctoral committee is to guide the PhD student during the PhD program in order to prepare the PhD thesis.
- (3) The composition of the mentoring and academic integrity committee is determined by the doctoral supervisor, after consultation with the doctoral student, no later than 30 days from the date of registration.
- (4) In order to ensure a coherent scientific pathway, the doctoral student shall, at his/her request and at least once every 12 months, make a presentation of the progress of his/her scientific research programme to the Academic Integrity and Guidance Committee and the doctoral supervisor, who are responsible for guiding, correcting and supporting the doctoral student's scientific pathway. The transcript of proceedings together with the submitted report will be filed in the doctoral student's personal file.
- (5) After each meeting, the Academic Integrity and Mentoring Committee will prepare a report of the doctoral student's progress and the committee's recommendations. The minutes will be attached to the student-doctoral candidate's file.
- (6) The supervision committee may change at the proposal of the supervisor.

Art. 34

- (1) The Academic Integrity and Guidance Committee has the following duties:
 - a) to analyse the research project of the student-doctoral candidate and ensure that all the conditions for its realisation exist;
 - b) to support the student-doctoral candidate's access to the research infrastructure needed to carry out the research programme;
 - c) to monitor the doctoral student's fulfilment of the performance indicators established at the doctoral school level for the award of the doctoral degree;
 - d) to supervise the writing of the PhD thesis and to contribute with suggestions and comments to raise its level;
 - e) to participate physically or online in the presentation of progress reports and the supervision of the doctoral thesis.
- (2) Members of the Academic Integrity and Mentoring Committee may not be nominated as members of the public dissertation committee.

Section 3.4. Transferable study credits and recognition of periods of study and scholarly activity undertaken outside IOSUD

Art. 35

- (1) The number of ECTS transferable study credits accumulated by the PhD student during the advanced degree-based training programme is 60.
- (2) For each Scientific Research Report the PhD student accumulates 60 credits.
- (3) Credits obtained in a Master's research programme or previous doctoral and/or scientific research internships, carried out in the country or abroad, in prestigious universities or research and development units, may be recognised as equivalent to those in a training programme based on advanced university studies. Equivalence is proposed by the doctoral supervisor and approved by the Coordinating Council of the doctoral programme in the field concerned and the Council of the doctoral school.

Section 3.5. Academic mobility Art.

36

- (1) According to the law, academic mobility is a period of study or internship or research spent by doctoral students at another higher education institution or research institution or other type of receiving institution (host institution) in the country or abroad, as a result of which transferable credits are recognised, if they have been earned, under the conditions of the law.
- (2) IOSUD will constantly strive to increase the quality of the educational and research experience of its doctoral students, by creating as many facilities as possible for personal and professional development, by providing conditions for study and research in foreign languages and by increasing the number of international mobility of doctoral students, so that at least 20% of doctoral students undertake a training period abroad or another form of mobility.

Art. 37

The status of doctoral student is maintained during internal and international mobility.

Art. 38

Recognition of transferable credits in the case of international academic mobility is carried out by higher education institutions for the person who proves the completion of the mobility period with documents issued by the higher education institution he/she attended.

Art. 39

Academic mobility can be carried out at the request of the doctoral student, provided the following conditions are met:

- a) the existence of interinstitutional agreements;
- b) the acceptance of the accredited/temporarily authorised higher education institutions, as appropriate, of origin and host, respectively, in the country or abroad;
- c) Acceptance by the host institution.

Art. 40

Inter-institutional agreements set out the conditions for carrying out mobility between accredited/provisionally authorised higher education institutions: type of mobility, duration of mobility, number of mobilities, field, study programme, funding of temporary mobility, language of study, accommodation conditions, etc.

Art. 41

Inter-institutional acceptance consists of completing and signing the mobility application form. The doctoral student submits the mobility application to the higher education institution where he/she wishes to be mobile in order to obtain acceptance. After obtaining the mobility acceptance, the doctoral student applies for mobility to the higher education institution where he/she is registered. The higher education institution accepting the mobility first signs the student's mobility request, then the institution from which the student is leaving signs. The request also states the conditions under which the mobility takes place.

Art. 42

(1) The doctoral student may undertake temporary academic mobility between two accredited/temporarily authorised higher education institutions, as appropriate.

(2) Temporary academic mobility can be carried out under inter-institutional agreements. In this case the compatibility of curricula for the recognition of transferable study credits shall be established prior to the period of mobility and the recognition of transferable study credits shall be carried out after the end of the mobility, in accordance with the inter-institutional agreement and the regulations of the higher education institutions involved, as appropriate.

(3) Temporary academic mobility on one's own account is temporary mobility undertaken outside inter-institutional academic mobility agreements, at the request of the student who has identified a potential host university, subject to the provisions of Article 40. In this case, the compatibility of curricula for the recognition of transferable study credits shall be established prior to the period of mobility and the recognition of transferable study credits shall take place after the end of the mobility, in accordance with the regulations of the higher education institutions involved, as appropriate.

(4) Temporary academic mobility, on budgeted or fee-paying places, can take place after completion of the first year of study.

(5) Temporary international academic mobility through international programmes is carried out in accordance with the regulations governing those programmes.

Art. 43

(1) Definitive academic mobility can be carried out by both state-funded and fee-paying students, subject to compliance with the legal provisions on tuition capacity and funding of higher education and with the agreement of the accredited/provisionally authorised higher education institutions involved and in accordance with their own regulations on student work.

(2) Permanent academic mobility can also take place within the same higher education institution.

(3) For doctoral studies, definitive academic mobility may be carried out within the same doctoral field, between accredited doctoral schools, after completion of

training programme based on advanced university studies, in compliance with the legislation in force.

(4) Permanent academic mobility of doctoral students can also be carried out within the same doctoral school for duly justified situations concerning doctoral supervisors (retirement, death, etc.).

(5) Enrolment is subject to compliance with the provisions of the regulations governing the professional activity of students regarding the recognition and equivalence of transferable study credits and the conditions for the promotion of study years.

(6) Registration is carried out in accordance with the legal requirements for the entry of changes in the single register.

(7) In the case of definitive academic mobility, the diploma is issued to the graduate by the higher education institution organising the final examination.

(8) Definitive internal academic mobility is done on a "grants follow the student" basis.

(9) The provisions on permanent academic mobility also apply to doctoral students from Member States of the European Union, the European Economic Area and the Swiss Confederation.

(10) For third countries, the provisions of the definitive academic mobility, bilateral agreements and international agreements in force at the time of the mobility apply.

Section 3.6 Financial aspects of doctoral studies Art. 44

(1) The financial aspects concerning the organisation and conduct of the doctorate in UMFH are not the subject of this IR, as they are subject to the legal regulations as well as the subsequent specific regulations of the university.

(2) The CSUD may propose specific regulations, in accordance with the law, for the payment of those involved in the management or running of the doctoral training process or the allocation of resources for specific actions. These regulations are endorsed by the Board of Directors and approved by the University Senate.

Chapter 4. Competences provided by doctoral programmes Art. 45

Doctoral degree programmes ensure the training of professional skills (content, cognitive and research) in specialised fields, as well as transversal skills.

Art. 46

(1) For the purposes of this Regulation, the following domain-specific competences are qualified as professional competences:

- a) advanced knowledge in the field;
- b) ability to identify, formulate and solve research problems;
- c) mastering advanced research methods and techniques;
- d) knowledge of research project management;

- e) mastering new research processes and solutions;
 - f) skills in documentation, elaboration and valorisation of scientific works;
 - g) academic level language skills in international languages needed to document and write scientific papers;
 - h) understanding and ability to apply the principles and values of scientific research ethics in the field.
 - i) the ability to process and process data at an advanced level,
- (2) For the purposes of this Regulation, the following shall be considered cross-cutting competences:
- a) written and oral communication skills in science and culture;
 - b) advanced language skills in international languages;
 - c) use of information and communication technology;
 - d) interpersonal and teamwork skills;
 - e) knowledge of human, material and financial resource management
 - f) leadership qualities;
 - g) Career management knowledge, as well as learning job search and job creation techniques for others;
 - h) knowledge of risk, crisis and failure management;
 - i) knowledge of the use of intellectual property rights legislation;
 - j) economic, technological and social entrepreneurship skills;
 - k) knowledge of the use of intellectual property rights legislation;
 - l) advanced digital skills and competences, use of artificial intelligence.

Chapter 5. The Advanced Graduate Training Programme and the Scientific Research Programme

Section 5.1

Art. 47

- (1) The IOSUD Doctoral School provides doctoral students with a training programme based on advanced university studies consisting of activities carried out in institutional study groups, through lectures, seminars, laboratories and other practical activities.
- (2) The opportunity to organise an advanced training programme, as well as its structure and content, is at the discretion of the doctoral school, according to the doctoral school regulations.
- (3) The Doctoral School is obliged to ensure free and unrestricted access to the advanced training programme for all doctoral students from the Doctoral School or from other Doctoral Schools.

Art. 48

- (1) Doctoral studies total 240 transferable study credits and advanced studies in the doctoral school total 60 transferable study credits.
- (2) The advanced degree-based training programme and its other related activities are carried out during the first year of doctoral studies.

(3) Credits obtained in a Master's research programme or previous doctoral and/or scientific research internships, carried out in the country or abroad, in universities or in prestigious research and development units, may be recognised as equivalent to those obtained in a training programme based on advanced university studies. Equivalence is made at the request of the doctoral student, with the approval of the doctoral supervisor, and is approved by the doctoral school council.

(4) The cumulative duration of the training programme based on advanced university studies is 1 academic year.

Art. 49

(1) The training programme based on advanced academic studies is a tool for the enrichment of the PhD student's knowledge and which serves him/her to carry out the scientific research programme in good conditions and to acquire advanced skills specific to the PhD cycle.

(2) IOSUD, through the Doctoral School, facilitates and guarantees curricular flexibility of the doctoral degree programmes.

Art. 50

(1) The scientific research programme involves the participation of the PhD student in one or more scientific projects established by the PhD supervisor.

(2) Responsibility for the structure, content, conduct and organisation of the PhD student's scientific research programme lies with the PhD supervisor.

(3) The PhD supervisor is directly responsible for the PhD student's scientific path and is obliged to take all necessary measures to provide him/her with the conditions, knowledge and information to maximise the chances of completing the PhD programme.

(4) Providing the necessary resources to carry out the research projects in which the PhD student is involved is also a specific obligation of IOSUD, the doctoral school and the PhD supervisor.

Art. 51

(1) The scientific research programme shall be deemed to be completed only after at least 3 presentations (reports/reports) on the progress of the doctoral candidate's scientific research programme, each presentation being credited with 60 credits. Total: 180 credits for the scientific research programme.

(2) The scientific research programme is carried out under the guidance of the PhD supervisor and the Academic Integrity and Mentoring Committee.

Chapter 6. Doctoral thesis and completion of doctoral studies Art. 52

(1) Doctoral studies are completed by the public defence of the doctoral thesis in front of the doctoral thesis committee.

(2) The doctoral dissertation is written according to the requirements established by the regulations of the doctoral school.

(3) The doctoral school establishes the standard format of the doctoral thesis, which includes elements of structure and graphic formatting, and the doctoral student is obliged to respect this standard format. The content of the doctoral thesis shall be determined by the doctoral student in consultation with

the doctoral supervisor and will respect the framework structure and limitations imposed by the doctoral school regulations.

(4) The title of the doctoral thesis may be changed at the discretion of the student-doctoral candidate until it is completed for public presentation. The research topic of the doctoral thesis may be changed once, at the request of the doctoral student, with the advice of the supervisor and the approval of the SSC.

(5) The PhD thesis is an original work and it is mandatory to mention the source for any material taken from it.

(6) The doctoral student is the author of the thesis and assumes the accuracy of the data and information presented in the thesis as well as the opinions and demonstrations expressed in the thesis.

(7) The IOSUD, through the doctoral school, is obliged to check the doctoral thesis through a computer program to detect similarities before the public presentation of the doctoral thesis. The check is carried out on the basis of the standards contained in the National Guidelines for the writing of doctoral theses, drawn up by the National Commission for the Accreditation of University Degrees, Diplomas and Certificates (CNATDCU). The results of the analysis will be made available to the doctoral student, the doctoral supervisor and the doctoral committee.

Art. 53

(1) Doctoral theses together with their annexes are public documents and are also written in digital format. The doctoral thesis and its appendices are published on a website managed by the relevant Ministry, in compliance with the copyright legislation in force;

(2) The doctoral thesis is presented to the Academic Integrity and Mentoring Committee, established at the level of the doctoral school, according to IOSUD's own regulations. The doctoral dissertation may be presented in the compulsory presence of the doctoral supervisor, and the other members of the committee may also participate via online videoconferencing.

(3) After this pre-submission, which is public, the PhD supervisor, in accordance with the recommendation of the committee and the results of the similarity report analysis, decides on the official submission of the thesis and the organisation of the public defence;

(4) The doctoral thesis and its annexes will be available for public consultation for 90 calendar days, before the public defense, on the national platform managed by the Executive Unit for the Financing of Higher Education, Research, Development and Innovation (UEFISCDI), in accordance with the legal provisions in force in the field of copyright;

(5) While the thesis is published on the national platform, any natural or legal person may comment on the existence of deviations from ethical and professional standards, including the existence of plagiarism. Comments can be submitted online at sd@umfcluj.ro or at the IOSUD Registrar's Office. Observations will be recorded in a report drawn up by the Doctoral School, which will be sent to the CSUD for analysis and decision in order to validate the initiation of the procedure for the public defence of the doctoral thesis and will form part of the doctoral file;

(6) If the decision of the CSUD is to validate the PhD thesis, the procedure for the public defence of the thesis is initiated by establishing the PhD committee;

(7) If the CSUD invalidates the initiation of the procedure for the public defense of the doctoral thesis, the doctoral school receives recommendations on the reworking of the doctoral thesis and the elimination of situations that do not comply with the ethical and deontological standards, and the doctoral student is obliged to remedy the content of the thesis within 3 months from the communication of the decision to invalidate the defense, with the resumption of the procedure of making the thesis transparent.

Art. 54

- (1) The doctoral thesis shall be defended before the committee for the public defence of the doctoral thesis, hereinafter referred to as the doctoral committee.
- (2) The doctoral committee is proposed by the doctoral supervisor and approved by the doctoral school council. The composition of the doctoral committee is proposed by the doctoral supervisor, endorsed by the doctoral school and approved by the CSUD. The doctoral committee is composed of at least 5 members: the president, as a representative of the IOSUD, the doctoral supervisor and at least 3 referees from the country or from abroad, specialists in the field in which the doctoral thesis was written and of which at least 2 are working outside the respective IOSUD. The members of the doctoral committee have a doctoral degree and hold at least the teaching position of university lecturer or scientific researcher grade II or are doctoral supervisors, in the country or abroad.
- (3) Both IOSUD and the Doctoral School may impose minimum standards of scientific performance that members of the doctoral committee must meet in order to be eligible to serve on the committee, and these standards must be made known in advance to the doctoral supervisors of the IOSUD Doctoral School(s).
- (4) The request for fixing the date of the public defence of the doctoral thesis is formulated by the doctoral student and approved by the supervisor and the chair of the defence committee. The request shall be registered with the secretariat of the doctoral school at least 25 calendar days before the proposed date of the defense. The proposed date for the defense cannot be earlier than the date previously estimated for the public defense.
- (5) The doctoral thesis is also written in digital format. After the doctoral degree has been awarded, the doctoral thesis, in digital and printed format, is archived in the library of the higher education institution, with a permanent deadline.
- (6) The doctoral thesis is defended in a public session in front of the doctoral committee, after evaluation by all referees and submission of their reports at least 15 days before the thesis defense.
- (7) The doctoral dissertation can be defended in the presence of at least 4 members of the doctoral committee, with the physical participation of the committee chairperson and the doctoral supervisor, the other members of the committee can also participate via online videoconference. The public presentation must include a question session with the members of the doctoral committee and the public.
- (8) On the basis of the public presentation of the doctoral thesis, the referees' reports, the statement on the originality of the work and the analysis of the degree of similarity, the doctoral committee evaluates and decides on the award of the doctoral degree, in compliance with the minimum standards developed by the CNATDCU for the award of the doctoral degree.
- (9) If the minimum standards required for the award of the doctoral degree are not met, the doctoral committee will specify the elements to be reworked or completed in the doctoral thesis and will request a new public defense of the thesis. The second public defence of the thesis takes place before the same doctoral committee as the first defence. If the minimum standards are not met at the second public hearing, the doctoral degree will not be awarded and the doctoral student will be expelled.
- (10) If the doctoral student has fulfilled all the requirements set out in the scientific research programme, as well as the minimum standards related to the field, the doctoral committee proposes the awarding of the doctoral degree, a proposal that is submitted together with the doctoral file, within a maximum of 30 calendar days, to the CNATDCU.

(11) On the basis of the public defence of the doctoral thesis and the reports of the official referees, the doctoral committee evaluates and decides on the grade to be awarded to the doctoral thesis. The grades that can be awarded are: "Excellent", "Very good", "Good", "Satisfactory" and "Unsatisfactory".

Art. 55

(1) Within 30 calendar days of receiving the approval, the IOSUD issues the decision granting the doctoral degree, signed by the rector, and draws up and issues the doctoral degree.

(2) If the CNATDCU decides that the administrative procedure carried out at the IOSUD level has not complied with the provisions of this regulation, the doctoral file will be sent back to the IOSUD for re-examination and completion. It may be resubmitted to the CNATDCU for further analysis only after the legal procedures have been resumed.

(3) If the doctoral student does not opt for separate publication of the thesis or chapters of it, the digital form of the thesis remains public and will be freely accessible on the national platform managed by UEFISCDI, even after the decision to award the doctoral degree has been issued. The thesis will be assigned a copyright protection licence.

(4) If the doctoral student opts for separate publication of the doctoral thesis or chapters of it, he/she is given a grace period of maximum 24 months for this publication, during which time the doctoral thesis, in digital format, becomes inaccessible to the public. After the expiry of the grace period, if no notification of the separate publication of the thesis has been uploaded to the platform managed by UEFISCDI, the document in digital format automatically becomes freely accessible with the assignment of a copyright protection license.

(5) After publication of the thesis or some chapters of it, the author is obliged to notify IOSUD of this fact and to send the bibliographic indication and a link to the publication, which will then be made public on the national platform managed by UEFISCDI.

(6) After issuing the decision granting the doctoral degree, within a maximum of 180 days, IOSUD is obliged to send to the National Library of Romania a printed copy of the doctoral thesis and its annexes, in accordance with Law no. 111/1995 on the Legal Deposit of Documents, republished, a copy intended for the Intangible Fund, as well as a digital copy of them, on electronic support, for consultation on request, at the headquarters of the National Library of Romania, by any interested person, in compliance with the legal regulations in force.

Art. 56

(1) The diploma awarded after the successful completion of a doctoral programme is called a doctoral degree. The diploma certifying the award and possession of the title of doctor shall expressly mention the disciplinary or interdisciplinary field of the doctorate for the scientific doctorate.

(2) Upon completion of the scientific doctoral studies, the IOSUD confers the diploma and the title of Doctor of Science, corresponding to the acronym Dr.

Chapter 7. Rights and obligations of the doctoral student and supervisor

Art. 57

(1) During the course of the doctoral programme, the doctoral student has the right to:

- a. benefit from the support, guidance and coordination of the doctoral supervisor, as well as the academic integrity and mentoring committee;
- b. attend seminars or working meetings of IOSUD research and development staff when topics relevant to doctoral studies are under discussion;
- c. to be represented in the decision-making bodies of the doctoral school, in accordance with the provisions of these regulations;
- d. access and use IOSUD's research infrastructure, including logistics, documentation centres, libraries (including international databases) and equipment of the doctoral school and IOSUD for the development of research projects and doctoral theses;
- e. enrol in courses and seminars organised by other doctoral schools;
- f. work with teams of researchers from IOSUD or from research and development units that have concluded agreements or institutional partnerships with IOSUD, and interdisciplinary initiatives are encouraged and supported;
- g. be integrated into IOSUD research project teams, including through participation in the grant writing and application process;
- h. benefit from national or international mobility;
- i. to benefit from institutional support to participate in scientific conferences or congresses, workshops, summer or winter schools and national and international seminars in the field in which they have chosen their doctoral thesis;
- j. participate in scientific communication sessions organised by the doctoral school and/or IOSUD;
- k. to be informed about the curriculum of doctoral studies in the doctoral school;
- l. to benefit from existing student support services at higher education institutions, including accommodation and canteen services.
- m. to obtain, under the conditions of the law, doctoral scholarships, according to the University's Regulation on the organization and conduct of doctoral studies,
- n. benefit, upon request, for good cause, from interruptions, extensions, change of doctoral supervisor, change of doctoral thesis title, with the opinion of the doctoral supervisor and the approval of the Doctoral School Council in accordance with the Regulations. At the motivated request of the doctoral student, the Council of the Doctoral School decides to change the doctoral supervisor if it is found that the supervisor has not fulfilled the legal or contractual obligations assumed by the student or for other reasons concerning the supervision relationship between the doctoral supervisor and the doctoral student;
- o. to carry out the doctoral training in cotutelle, on the basis of an agreement concluded and signed by the parties involved, according to the law;
- p. to receive the title and diploma of doctor in the scientific field in which he/she was registered as a doctoral student, under the conditions of the law;
- q. doctoral students may be employed by IOSUD as research assistants or university assistants, as appropriate, for a fixed period, following a competition;

- r. the doctoral student employed as a research assistant may carry out paid teaching activities on an hourly basis, in accordance with the legislation in force;
- s. Resident doctors have the right to enrol in a doctoral programme during their residency. Those who occupy by competition teaching posts of university assistant in higher education institutions in the field of health, according to the provisions of paragraph k, shall continue their residency training and shall be paid for both activities;
- t. to work in co-supervision, under the concurrent supervision of a PhD supervisor from Romania and a PhD supervisor from another country or under the concurrent supervision of two PhD supervisors from different IOSUDs in Romania, on the basis of a written agreement between the organising institutions involved, to be included in the co-supervision agreement.
 - If a written co-supervision agreement is concluded between a Romanian IOSUD and a foreign doctoral institution, the course of the study programme, the doctoral thesis prepared and the conditions for public defence must be established in such a way as to comply with the legal provisions applicable in both countries. Otherwise, the administrative procedure carried out by IOSUD will be invalidated and the PhD title will not be awarded.
 - Cotutelle PhD can also be organised if the PhD supervisors are from the same IOSUD.
 - In the case of doctoral studies organised in cotutelle, the main doctoral supervisor is the one for whose vacant PhD student position the admission competition has been organised, the second doctoral supervisor having a secondary role.
 - The doctoral student is fully accounted for to the principal doctoral supervisor, including in the norming of his/her teaching and research activity.

(2) The PhD student has the following obligations:

- a) respect the timetable set with the supervisor and fulfil their obligations to present their work and research results;
- b) to present, at his or her request, at least once every 12 months, a presentation of the progress of his or her scientific research programme to the Academic Integrity and Mentoring Committee and the doctoral supervisor;
- c) submit progress reports to the supervisor and the mentoring committee whenever requested;
- d) be in permanent contact with the doctoral supervisor;
- e) to respect institutional discipline without being restricted in their academic freedom;
- f) to comply with academic ethics and professional conduct policies and to conduct their studies and research with academic integrity.
- g) carry out the activities set out in the Individual Activity Plan within the doctoral programme;
- h) to submit, before defending the doctoral thesis, a copy of the agreement of the ethics committee of UMF "Iuliu Hațieganu" Cluj-Napoca, for the conduct of studies, where applicable;

- i) comply with the requirement that the doctoral dissertation contains a minimum of 3 studies in the Personal Contributions section;
- j) comply with the requirement that the doctoral thesis has, where possible, a basic or translational research component;
- k) comply with the occupational health and safety measures in the establishment;
- l) to complete all activities on time;
- m) respect institutional discipline;
- n) to complete his doctoral thesis;

(3) Throughout the duration of the activity, the doctoral student benefits from the recognition of seniority in work and specialization, as well as free medical and dental care under the conditions provided for by Law no. 95/2006 on health care reform, republished, with subsequent amendments and additions, and by the framework contract, without payment of mandatory social contributions regulated by Law no. 227/2015 on the Tax Code, with subsequent amendments and additions.

(4) The period of doctoral studies is assimilated, according to pension legislation, for the purposes of determining the contribution period.

(5) After the doctoral thesis has been defended, IOSUD issues a certificate attesting to the period during which the doctoral student has completed the doctoral studies.

(6) Conflicts between the doctoral student and the supervisor are mediated by the doctoral school council, and if the conflict is not resolved at this level, it is mediated by the CSUD.

(7) Conflicts between the doctoral student and the doctoral school are mediated by CSUD.

(8) The doctoral student is responsible for the originality of the doctoral thesis.

Art. 58

(1) Doctoral supervisors are persons who have obtained the right to conduct a doctorate before the date of entry into force of the Law on Higher Education No. 199/2023, as amended and supplemented, as well as persons who have obtained the habilitation certificate and become members of the UMFIIH Doctoral School, on the basis of an individual employment contract. IOSUD may employ, on a contract basis, specialists from abroad who have the legal right to conduct doctoral studies.

(2) A doctoral supervisor may only supervise doctoral students in the field for which he/she has been awarded this right. By exception, when a new field of doctoral studies is established, a new doctoral programme may operate with doctoral supervisors from the same field of science.

(3) A teaching and research staff member who is entitled to conduct doctoral studies and who is a holder of

a higher education or research institution that is not an IOSUD may be part of a doctoral school at an IOSUD.

(4) A doctoral supervisor may only supervise doctoral students in one IOSUD at a time, except for doctoral students supervised in cotutelle.

(5) Starting from the academic year 2026-2027, a PhD supervisor can simultaneously supervise 8 PhD students, not including possible PhD students coordinated in a co-supervised, secondary ~~rd~~By exception, a maximum of 12 doctoral students/doctoral supervisor may be supervised only with the approval of the UMFIIH University Senate, but not for more than 20% of the doctoral supervisors in a doctoral school.

(6) By the date laid down in paragraph. (5) the UMFIH Senate shall decide on the maximum number of doctoral students that may be supervised by a doctoral supervisor at the same time.

(7) The rights and obligations of the doctoral supervisor derive from the Law no. 199/2023, as amended, from the own regulations of the IOSUD UMFIH, from the regulations of the UMFIH doctoral school, as well as from his/her employment contract.

(8) The rights of the PhD supervisor include, among others:

- a) the right to participate in doctoral grant competitions;
- b) the right to supervise and evaluate the work of the doctoral student within the doctoral programme, in accordance with professional and academic autonomy, following the requirements of the doctoral programme and respecting the professional interests of the doctoral student;
- c) the right to propose the doctoral committee;
- d) the right to impartial internal and external evaluation in accordance with the specific methodology of the evaluation process;
- e) the right to know the methodology against which they are assessed, both in internal and external evaluation;
- f) the right to know the results of the internal and external evaluation of their work;
- g) the right to refuse to supervise a doctoral student if he or she is unwittingly placed in a conflict of interest;
- h) the right to request the doctoral school council to terminate the mentoring relationship with a doctoral student;
- i) the right to select the doctoral candidate for a vacant position under his/her supervision and to propose the registration of the doctoral student;
- j) the right to request the doctoral school to organise an admission competition for each vacant doctoral student position under its supervision;
- k) the right to decide the elements of study within the advanced degree-based training program in which the doctoral student must participate, subject to the provisions of this Code.

(9) The doctoral supervisor has the following obligations:

- a) ensure the scientific, professional and ethical guidance of each doctoral student;
- b) propose research topics;
- c) to provide conditions and stimulate the progress of doctoral students in their research;
- d) to carry out objective and rigorous monitoring and evaluation of each doctoral student;
- e) support the mobility of doctoral students;
- f) avoid conflicts of interest in mentoring doctoral students.
- g) make every effort to check the conformity of doctoral theses with the specific requirements of an original creation.

Chapter 8. Evaluation of doctoral schools and doctoral supervisors Art.

59

(1) In accordance with the legislation in force, each doctoral school is subject to an external evaluation every 5 years.

(2) The external evaluation of the doctoral school is carried out by ARACIS or by another quality assurance agency, national or international, based on CNCS reports on the quality of research and CNATDCU reports on the quality of human resources.

(3) A doctoral school which, after a period of 5 years since its last accreditation, has not undergone the external evaluation process provided for in paragraph 1 shall be considered a doctoral school. (2) and has not been reaccredited shall lose its accreditation.

Art. 60

(1) Doctoral schools that have lost their accreditation can no longer enrol new doctoral students.

(2) Doctoral students pursuing their doctoral programme in a doctoral school that has lost its accreditation continue their studies according to their contract and study programme until their completion.

Art. 61

(1) The public defence of doctoral theses of doctoral students coming from a doctoral school that has lost its accreditation is organised by another accredited doctoral school in the same field.

(2) The doctoral committee is approved by the board of the accredited doctoral school and the chair of the doctoral committee represents the IOSUD of which the accredited doctoral school is part.

(3) The diploma and the title of doctor are awarded by the IOSUD of which the accredited doctoral school that organised the public defence of the thesis belongs.

Art. 62

(1) The Doctoral School may be liquidated by decision of the CSUD and the IOSUD is obliged to inform the ME about the process and the outcome of the liquidation.

(2) The winding up of the doctoral school is only possible after all doctoral students in that school have completed their doctoral programme or have been dismissed for reasons unrelated to the winding up.

Art. 63

(1) Doctoral supervisors are evaluated every 5 years, according to the procedures established by Order of the Minister of Education.

(2) The results of the evaluation are public.

Art. 64

The Doctoral School may conduct periodic internal evaluations. Following the external evaluations referred to in Article 39(2), the (2) to (4) or internal evaluations, the doctoral school may decide to extend or terminate the employment contract of a doctoral supervisor.

Art. 65

(1) The CNATDCU may be referred to it regarding non-compliance with standards of quality or professional ethics, including the existence of plagiarism, in a doctoral thesis, regardless of the date on which it is defended.

(2) The National Council for Ethics in Scientific Research, Technological Development and Innovation may be referred to the National Council for Ethics in Scientific Research, Technological Development and Innovation in case of violation of the rules of good conduct in research and development by IOSUD research and development staff.

Chapter 9 Funding of doctoral studies

Art. 66

- (1) Doctoral studies are organised with funding from the state budget, on a fee-paying basis or from other legally established sources.
- (2) By Government decision initiated by the Ministry of Education, a number of places equal to the number of grants is allocated annually for doctoral studies. The methodology for calculating the funding of higher education institutions will take into account the costs, by fields and types of doctoral studies, for the advanced study programme and for the individual scientific research or applied research programme, as appropriate.
- (3) The allocation of places and grants to IOSUD is approved by order of the Minister of Education.
- (4) Grants related to doctoral studies include the amount of individual scholarships for full-time studies as well as the funding of budget full-time programmes.
- (5) The payment of the doctoral student's rights is carried out according to the law, without excluding other forms of remuneration provided for by the legal provisions in force.
- (6) A person can receive funding from the budget for only one doctoral degree programme.
- (7) Individuals who have the appropriate financial resources, either from their own sources or from scholarships granted by natural or legal persons, may be admitted as fee-paying doctoral students, under the conditions laid down in IOSUD's own regulations.

Art. 67

- (1) In order to participate in the national competition for doctoral grants between doctoral supervisors who are members of doctoral schools, they will submit project proposals containing at least the following types of information:
 - a) curriculum vitae and list of scientific works of the PhD supervisor;
 - b) information on the number, status and research topics of the doctoral students under the supervision of the doctoral supervisor at the time of the project submission;
 - c) the number of doctoral grants applied for;
 - d) the doctoral topic for each individual doctoral grant applied for, or for a set of doctoral grants applied for, in the form of a description of the scientific project in which the doctoral student(s) will be involved; the scientific project may be part of a research project that the doctoral supervisor has in progress.

Art. 68

- (1) In order to participate in the national competition for doctoral grants between doctoral schools, they shall submit project proposals which must also contain the information specified in paragraph 1. (1) for each doctoral supervisor of the doctoral school for which funding will be awarded in the event of winning the grant.
- (2) Under the conditions of the extension of the doctoral programme, the doctoral supervisor or the doctoral school may propose the extension of the doctoral grant. The methodology for the conduct of project competitions for doctoral grants provides instruments for the extension of the doctoral grant through a national competition.

Art. 69

The payment and rights of the PhD student are carried out according to the Law no. 199/2023 - Law on Higher Education, without excluding other forms of remuneration provided for by the legal provisions in force.

Art. 70

Individuals who have the appropriate financial resources, either from their own sources or from scholarships granted by natural or legal persons, may be admitted, at their request, as fee-paying doctoral students.

Art. 71

(1) The IOSUD or the doctoral supervisor may only use the doctoral grant funds for activities related to the doctoral programme for the doctoral student positions for which the funds have been allocated.

(2) It is prohibited to use funds or parts thereof allocated through doctoral grants to a doctoral student position to support the activities of a doctoral student in another position.

(3) The period of doctoral studies is assimilated, according to pension legislation, for the purposes of determining the contribution period.

(4) After the doctoral thesis has been defended, IOSUD issues a certificate attesting to the period during which the doctoral student has completed the doctoral studies.

Chapter 10 Legal liability Art. 72

(1) The authors of doctoral works are responsible for ensuring the originality of their content. Doctoral supervisors have an obligation of due diligence in verifying the conformity of scientific work with the specific requirements of an original creation.

(2) It is prohibited to offer for sale, sell or make available to individuals, for any reason whatsoever, works for the purpose of being used as scientific papers, reports, projects, doctoral works or for the promotion of evaluations.

(3) It is forbidden to buy works for the purpose of being used as scientific papers, reports, projects, doctoral works or for the purpose of promoting evaluations.

(4) The acts referred to in paragraph 1 shall constitute an offence. (2) to (3) shall be punishable by a fine of

100,000 to 200,000 lei.

(5) The contravention is established and the fines imposed by the police officers or agents of the Ministry of the Interior, who are competent in this field, at the reasoned request of the university.

(6) In the case of the offence referred to in paragraph 1, the following shall apply (2), which is committed by electronic means, the investigating officers of the Ministry of the Interior may also order the additional sanction of immediately interrupting the transmission of the content of the offence to an electronic communications network.

(7) Failure by the offender to enforce the complementary sanction ordered under paragraph 1. (6) shall entitle the Ministry of the Interior to request the National Authority for Administration and Regulation of Communications, where appropriate, to give reasons:

- a. request the National Institute for Research and Development in Informatics - ICI Bucharest to block the functionality of a ".ro" domain through which the content that is the subject of the infringement referred to in paragraph 1 of this Article is found to have been infringed. (2) is available online;
- b. require hosting service providers to interrupt the transmission over an electronic communications network or the storage of content which is the subject of a finding of an infringement under paragraph 1. (2) by removing it at source;
- c. where the removal at source of the content which is the subject of the offence referred to in paragraph 1 is not in accordance with the provisions of this Article. (2) is not feasible, to require providers of electronic communications networks intended for the public to block access by users in Romania to that content.

Chapter 11. Transitional and final provisions

Article 73

This IR shall enter into force on the date of its adoption by the UMFIH Senate and shall be supplemented by the relevant legal provisions.

Art. 74

The provisions concerning the completion of doctoral studies, the public defence of the thesis and the issuance of the decision of the IOSUD-UMFIH to award the doctoral degree come into force from the academic year 2024/2025.

Art.75

Doctoral students who started their doctoral programme before the entry into force of the Law on Higher Education No. 199/2023 in a form of education other than full-time education may continue their studies in this form of education until their completion.

Art. 76

The appointment of the functions and management structures related to the IOSUD- UMFIH is made during each university election cycle after the appointment of the rector and deans.

Art. 77

These Regulations were approved at the meeting of the Administrative Council on **9 April 2024** and at the meeting of the University Senate on **25 April 2024**.